



GWN Securities, Inc.
Member FINRA/SIPC

Schedule of Service Fees	Fee	Type
Bookkeeping Chare	\$4.50	per trade
Foreign Settlement Charge	\$75.00	initial & \$5.00 quarterly thereafter
Foreign Security Custody	\$2.00	per account position & month
Holding Security in Safekeeping	\$2.00	per account position & month
Inactive Account Custody Fee	\$25.00	per account annual fee
Legal Transfer Fee	\$25.00	per transfer
MF Load Purchase @ NAV	\$14.00	per fund
Mandatory Reorganization	\$5.00	per event
Mutual Fund Redemption	\$14.00	per fund
No Load Mutual Fund Purchase	\$14.00	per fund
No Activity Fee	\$17.00	to \$25.00 per account
Outgoing Transfer Fee	\$65.00	per transfer
Overnight Check	\$12.00	per overnight (Airborne)
Reregister Legal Transfer Fee	\$20.00	per transfer
Resource Checking Stop Payment	\$10.00	per event
Return Checks & Rights Subscription	\$20.00	per event
Stock and Bond Conversion	\$20.00	per event
Trade Extensions	\$10.00	per event
Transfer & Ship Security Fee	\$10.00	per transfer
Voluntary Reorganization (Tender)	\$20.00	per event
Wired Funds to clients bank	\$20.00	per wire transfer

Retirement Schedule Service Fee	Fee	Type
Annual Maintenance Fee (rear)	\$43.50	(IRA, 5305-SEP, Roth IRA)
Annual Maintenance Fee (front)	\$50.00	(403b), Proto-SEP, Simple, QRP
Distribution and Repayment Fee	\$50.00	(QRP, 403b)
Limited Partnership Approval Fee	\$100.00	per approval
Limited Partnership Processing	\$100.00	(Subscription or Redemption
Roth IRA Conversion	\$25.00	per conversion
Set Up Fees (IRA, SEP)	\$0.00	no charge
Special Products processing	\$35.00	(in addition to Maintenance fee)
Termination Fee	\$95.00	(All Retirement Accounts)



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Brokerage Account Trading Fees		
Stock & ETF Commission Schedule General Discount Price		
Bookkeeping Charge	\$4.50	per trade
Dollar Range Per Trade	Commission Rates	
\$0 - \$2,500	\$26.00	Plus 1.6% of principal
\$2,501 - \$6,000	\$51.00	Plus .6% of principal
\$6,001 - \$22,000	\$69.00	Plus .3% of principal
\$22,001 - \$50,000	\$91.00	Plus .2% of principal
\$50,000 - \$500,000	\$141.00	Plus .1% of principal
\$500,000 - over	\$241.00	Plus .08% of principal

Brokerage Account Trading Fees		
Stock & ETF Commission Schedule	Fee	Type
Maximum - Full Service Rate		
Bookkeeping Charge	\$4.50	per trade
Dollar Range Per Trade	Commission Rates	
\$0 - \$2,500	\$26.00	Plus 1.6% of principal
\$2,501 - \$6,000	\$51.00	Plus 1.4% of principal
\$6,001 - \$22,000	\$69.00	Plus 1.2% of principal
\$22,001 - \$50,000	\$91.00	Plus 1.0% of principal
\$50,000 - \$500,000	\$141.00	Plus .8% of principal
\$500,000 - over	\$241.00	Plus .3% of principal

Absolute Minimum Charge	Type
Listed Market Order Zero to 2099 shares	\$19.00 per ticket
Listed Market order greater than 2099 shares	\$19.00 plus 0.02 per share
Listed Limited Order	\$19.00 per ticket plus 0.02 per share
OTC Equities	\$19.00 per ticket

Absolute Maximum Commission

For the first 100 shares is \$35 plus \$0.50 per share. A representative may choose a commission between the Absolute Minimum and the Absolute Maximum (whichever is less), but may not be lower than the Absolute Minimum.

Bond Commission Schedule	Fee	Type
Bookkeeping Charge	4.50	per trade
Corporate, Zero-Coupon Bond	5.00	per 1000 face value or 1% of principal amount, whichever is less, depending on the amount of bonds.
General Obligation & Revenue		
Treasury Bills, Treasury Notes and Treasury Bonds	\$1.25 to \$2.50	per 1,000 face value, depending on the amount of bonds.



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Commission Schedule for Options	Fee	Type
Options with Premium of \$0.50 or less:		
Overriding Minimum \$19 per trade plus \$1.00 per contract		
Contract	Commission	% of Principal
0 - 49	\$1.80 per	Plus 1.5% of principal
50 - 149	\$1.10 per	Plus 1.8% of principal
150 - 499	\$0.75 per	Plus 2.0% of principal
500 - 1,490	\$0.80 per	Plus 2.0% of principal
1,500 - over	\$0.60 per	Plus 1.5% of principal
Options with Premium greater than \$0.50:		
Bookkeeping Charge	\$4.50	per trade
Dollar Range Per Trade	Commission Rates	
\$0 - \$2,499	\$29.00	Plus 1.6% of principal
\$2,500 - \$9,999	\$49.00	Plus 0.8% of principal
\$10,000 - over	\$90.00	Plus 0.8% or principal

The following are Services and Products available through NFS:

- * **Dividend Reinvestment** - Increasing your portfolio by reinvesting dividends or capital gains from equities or closed-end funds to purchase additional whole and fractional shares of the same security.
- * **Employer-Sponsored Retirement Plans** - A range of retirement products including SEP, SARSEP and Simple plans, in addition to qualified plans such as Profit Sharing, Money Purchase and 401(k)
- * **Cash Management Premier Access Checking SM** - A Checking service that enables you to access the assets in your brokerage account, including cash and all money fund shares. Margin account holders can even take advantage of low-cost borrowing privileges.
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